# ON THE LOCAL WELL－POSEDNESS FOR THE KDVKS EQUATION 

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#### Abstract

In this paper，we consider the Cauchy problem for the KdVKS equation $u_{t}+$ $\delta \partial_{x}^{3} u+\mu\left(\partial_{x}^{4} u+\partial_{x}^{2} u\right)+\alpha\left(\partial_{x} u\right)^{2}=0$ ．By means of the $[k ; Z]$ multiplier norm method of Tao，we prove the associated initial value problem is locally well－posed in Sobolev spaces $H^{s}(\mathbb{R})$ for $s>-1$ ， which improves the conclusions drawn by Biagioni et al．


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## 1 Introduction

The purpose of this work is to study the local well－posedness for the Cauchy problem associated to the Korteweg－de Vries Kuramoto－Sivashinsky equation

$$
\left\{\begin{array}{l}
u_{t}+\delta \partial_{x}^{3} u+\mu\left(\partial_{x}^{4} u+\partial_{x}^{2} u\right)+\alpha\left(\partial_{x} u\right)^{2}=0  \tag{1.1}\\
u(0)=\varphi
\end{array}\right.
$$

where $x \in \mathbb{R}, t \in \mathbb{R}_{+}, u$ is a real－valued function and $\delta, \mu$ and $\alpha$ are constants such that $\mu>0, \delta \neq 0$ and $\alpha \neq 0$ ．The KdV－KS equation arises in interesting physical situations，for example as a model for long waves on a viscous fluid flowing down an inclined plane［2］and for deriving drift waves in a plasma［3］．

In［1］，using the dissipative effect of the linear part，Biagioni，Bona，Iorio and Scialom showed that the Cauchy problem associated to（1．1）is globally well－posed in $H^{s}(\mathbb{R})(s \geqslant 1)$ ． They also proved that the solutions of the KdV－KS equation converge to the solutions of the Kuramoto－Sivashinsky equation when the dispersive parameter $\delta$ goes to zero．The generalization of KdVKS equation is the following dispersive－dissipative equation

$$
\begin{equation*}
v_{t}+v_{x x x}-\eta L v=\left(v_{x}\right)^{k+1}, \quad x \in \mathbb{R}, \quad t \geqslant 0, \quad k \in \mathbb{N}, \quad k \geq 1 \tag{1.2}
\end{equation*}
$$

[^0]where the linear operator $L$ is defined via the Fourier transform by $\widehat{L f}(\xi)=-\Phi(\xi) \widehat{f(\xi)}$. The Fourier symbol $\Phi(\xi)$ is of the form
\[

$$
\begin{equation*}
\Phi(\xi)=-|\xi|^{p}+\Phi_{1}(\xi) \tag{1.3}
\end{equation*}
$$

\]

where $p \in \mathbb{R}^{+}$and $|\Phi(\xi)| \leqslant C\left(1+|\xi|^{q}\right)$ with $0 \leqslant q<p$. In [12], Carvajal and Panthee introduced some time weighted spaces to derive multilinear estimates and used them in the contraction mapping principle argument to prove local well-posedness, they also proved illposedness for this type of models and showed that the local well-posedness results are sharp in some particular cases. We remark that the method presented in [12] will not work here. To overcome this difficulty, we use the $[k ; Z]$ multiplier norm method of Tao [4] and obtain new bilinear estimates in suitable Bourgain space.

Before presenting the precise statement of our main result, we give the definition of the working space of this paper. Without loss of generality, we will suppose that $\delta=\mu=\alpha=1$ in the rest of this paper.

Definition 1.1 For $s, b \in \mathbb{R}$, we have that $X^{s, b}$ denotes the completion of the Schwartz functions with respect to the norm

$$
\|u\|_{X^{s, b}}=\left\|\left\langle i\left(\tau-\xi^{3}\right)+\left(\xi^{4}-\xi^{2}\right)\right\rangle^{b}\langle\xi\rangle^{s} \widehat{u}(\xi, \tau)\right\|_{L_{\xi, \tau}^{2}}
$$

where $\langle\cdot\rangle=\left(1+|\cdot|^{2}\right)^{\frac{1}{2}}$. For $T>0$, we consider the localized spaces $X_{T}^{s, b}$ endowed with the norm

$$
\|u\|_{X_{T}^{s, b}}=\inf _{w \in X^{s, b}}\left\{\|u\|_{X^{s, b}}, w(t)=u(t) \quad \text { on }[0, T]\right\} .
$$

As a consequence of this definition, we immediately have for $b>1 / 2$, that $X^{s, b}$ is embedded in $C\left(\mathbb{R} ; H^{s}(\mathbb{R})\right)$.

In sequel, we state the main results of this work.
Theorem 1.1 Let $s>-1$ and $\varphi \in H^{s}(\mathbb{R})$. Then there exist $b=b(s) \in(1 / 2,1)$ and $T=T\left(\|\varphi\|_{H^{s}(\mathbb{R})}\right)>0$ such that the Cauchy problem (1.1) has a unique solution $u(t)$ on $[0, T]$ satisfies $u \in C\left([0, T] ; H^{s}(\mathbb{R})\right) \cap X_{T}^{s, b}$. Moreover, the map solution

$$
\Phi: H^{s}(\mathbb{R}) \mapsto C\left([0, T] ; H^{s}(\mathbb{R})\right) \cap X_{T}^{s, b}, \quad \varphi \mapsto u
$$

is smooth.

## 2 Notations and Preliminary Results

For any positive number $x$ and $y$, the notation $x \lesssim y$ means that there exists a positive constant $c$ such that $x \leqslant c y$; and we denote $x \sim y$ when $x \lesssim y$ and $y \lesssim x$. We shall denote by $\hat{f}$ the Fourier transform of $f$.

Now we consider the initial value problem associated to the linear parts of (1.1),

$$
\left\{\begin{array}{l}
u_{t}+\partial_{x}^{3} u+\left(\partial_{x}^{4} u+\partial_{x}^{2} u\right)=0  \tag{2.1}\\
u(0)=\varphi_{0}
\end{array}\right.
$$

The unique solution of (2.1) is given by the semigroup $V(t)$ defined as follows

$$
\begin{equation*}
\widehat{V(t) \varphi_{0}}(\xi)=e^{t\left(i \xi^{3}-\xi^{4}+\xi^{2}\right)} \widehat{\varphi_{0}}(\xi) \tag{2.2}
\end{equation*}
$$

By Duhamel's principle, the solution of (2.1) is equivalent to

$$
\begin{equation*}
u(t)=V(t) \varphi-\int_{0}^{t} V\left(t-t^{\prime}\right)\left(u_{x}^{2}\left(t^{\prime}\right)\right) \mathrm{d} t^{\prime} \tag{2.3}
\end{equation*}
$$

Actually, to prove the local existence result, we shall apply a fixed point argument to the following truncated version of (2.3)

$$
\begin{equation*}
u(t)=\theta_{T}(t)\left[V(t) \varphi-\int_{0}^{t} V\left(t-t^{\prime}\right)\left(u_{x}^{2}\left(t^{\prime}\right)\right) \mathrm{d} t^{\prime}\right] \tag{2.4}
\end{equation*}
$$

where $t \in \mathbb{R}$ and $\theta$ is a time cutoff function satisfying

$$
\begin{equation*}
\theta \in C_{0}^{\infty}(\mathbb{R}), \quad 0 \leqslant \theta \leqslant 1 \quad \operatorname{supp}(\theta) \subset[-2,2], \quad \theta=1 \quad \text { on } \quad[-1,1] \tag{2.5}
\end{equation*}
$$

and denote for given $T>0, \theta_{T}(\cdot)=\theta(\cdot / T)$. Indeed, if $u$ solves (2.4) then $u$ is a solution of (2.3) on $[0, T]$.

Here are some fundamental estimates for the operator $V(t)$. Since the proofs of these estimates are standard, we omit the proofs. The reader can find some similar results for other similar operators from [8].

Lemma 2.1 (Homogeneous linear estimate) Let $s \in \mathbb{R}, \frac{1}{2}<b<1$. There exists $C>0$ such that

$$
\begin{equation*}
\left\|\theta_{T}(t) V(t) \varphi\right\|_{X^{s, b}} \leqslant C T^{\frac{1-2 b}{2}}\|\varphi\|_{H^{s}}, \quad \forall \varphi \in H^{s}(\mathbb{R}) \tag{2.6}
\end{equation*}
$$

Lemma 2.2 (Non-homogeneous linear estimate) Let $s \in \mathbb{R}$, there exists $C>0$ such that, for any $f \in X^{s, b-1}$,

$$
\begin{equation*}
\left\|\theta_{T}(t) \int_{0}^{t} V\left(t-t^{\prime}\right) f\left(t^{\prime}\right) \mathrm{d} t^{\prime}\right\|_{X^{s, b}} \leqslant C T^{\frac{1-2 b}{2}}\|f\|_{X^{s, b-1}} \tag{2.7}
\end{equation*}
$$

## 3 Binilear Estimate

In this section, we derive the crucial trilinear estimate to prove the local existence result from Tao's multiplier norm estimate for KdV equation [4].

Let $Z$ be any abelian additive group with an invariant measure $\mathrm{d} \xi$. For any integer $k \geqslant 2$, let $\Gamma_{k}(Z)$ denote the hyperplane

$$
\Gamma_{k}(Z):=\left\{\left(\xi_{1}, \cdots, \xi_{k}\right) \in Z^{k}: \xi_{1}+\cdots+\xi_{k}=0\right\}
$$

endowed with the measure

$$
\int_{\Gamma_{k}(Z)} f:=\int_{Z^{k-1}} f\left(\xi_{1}, \cdots, \xi_{k-1},-\xi_{1}-\cdots-\xi_{k-1}\right) \mathrm{d} \xi_{1} \cdots \mathrm{~d} \xi_{k-1}
$$

Following Tao [4], we define a $[k ; Z]$-multiplier to be a function $m: \Gamma_{k}(Z) \rightarrow \mathbb{C}$. The multiplier norm $\|m\|_{[k ; Z]}$ is the best constant such that

$$
\left|\int_{\Gamma_{k}(Z)} m(\xi) \prod_{j=1}^{k} f_{i}\left(\xi_{i}\right)\right| \leqslant\|m\|_{[k ; Z]} \prod_{j=1}^{k}\left\|f_{i}\right\|_{L^{2}(Z)}
$$

holds for all test functions $f_{i}$ on $Z$.
Meanwhile, we need to review some of Tao's notations. Any summations over capitalized variables such as $N_{i}, L_{i}, H$ are presumed to be dyadic. Let $N_{1}, N_{2}, N_{3}>0$, it will be convenient to define the quantities $N_{\max } \geqslant N_{\text {med }} \geqslant N_{\text {min }}$ to be the maximum, median, and minimum of $N_{1}, N_{2}, N_{3}$ respectively. Likewise, we have $L_{\max } \geqslant L_{\text {med }} \geqslant L_{\text {min }}$ whenever $L_{1}, L_{2}, L_{3}>0$. We adopt the following summation convention. Any summation of the form $L_{\max } \sim \cdots$ is a sum over the three dyadic variables $L_{1}, L_{2}, L_{3} \gtrsim 1$, thus for instance

$$
\sum_{L_{\max } \sim H}:=\sum_{L_{1}, L_{2}, L_{3} \gtrsim 1: L_{\max } \sim H}
$$

Similarly, any summation of the form $N_{\max } \sim \cdots$ sum over the three dyadic variables $N_{1}, N_{2}, N_{3}>0$, thus for instance

$$
\sum_{N_{\max } \sim N_{\operatorname{med}} \sim N}:=\sum_{N_{1}, N_{2}, N_{3}>0: N_{\max } \sim N_{\operatorname{med}} \sim N}
$$

If $\tau, \xi$ and $h(\xi)$ are given with $\tau_{1}+\tau_{2}+\tau_{3}=0$, then we write $\lambda:=\tau-\phi(\xi)$. Similarly we have $\lambda_{i}:=\tau_{i}-\phi\left(\xi_{i}\right)$. We refer to $\phi: \Gamma_{3}(Z) \rightarrow \mathbb{R}$ as the resonance function, which is defined by

$$
\begin{equation*}
h(\xi):=\phi\left(\xi_{1}\right)+\phi\left(\xi_{2}\right)+\phi\left(\xi_{3}\right)=-\lambda_{1}-\lambda_{2}-\lambda_{3} . \tag{3.1}
\end{equation*}
$$

By the dyadic decomposition of each variable $\xi_{i}$ or $\lambda_{i}$, as well as the function $h(\xi)$, we are led to consider

$$
\begin{equation*}
\left\|X_{N_{1}, N_{2}, N_{3} ; H ; L_{1}, L_{2}, L_{3}}\right\|_{[3 ; \mathbb{R} \times \mathbb{R}]}, \tag{3.2}
\end{equation*}
$$

where $X_{N_{1}, N_{2}, N_{3} ; H ; L_{1}, L_{2}, L_{3}}$ is the multiplier

$$
\begin{equation*}
X_{N_{1}, N_{2}, N_{3} ; H ; L_{1}, L_{2}, L_{3}}(\xi, \tau)=\chi_{|h(\xi)| \sim H} \prod_{j=1}^{3} \chi_{\left|\xi_{j}\right| \sim N_{j}} \chi_{\left|\lambda_{j}\right| \sim L_{j}} \tag{3.3}
\end{equation*}
$$

From the identities $\xi_{1}+\xi_{2}+\xi_{3}=0$ and

$$
\begin{equation*}
\lambda_{1}+\lambda_{2}+\lambda_{3}+h(\xi)=0 \tag{3.4}
\end{equation*}
$$

on the support of the multiplier, we see that $\chi_{N_{1}, N_{2}, N_{3} ; H ; L_{1}, L_{2}, L_{3}}$ vanishes unless

$$
\begin{equation*}
N_{\max } \sim N_{\mathrm{med}} \tag{3.5}
\end{equation*}
$$

and

$$
\begin{equation*}
L_{\max } \sim \max \left(H, L_{\mathrm{med}}\right) \tag{3.6}
\end{equation*}
$$

For the KdV group, where $\phi(\xi)=\xi^{3}$, from the resonance identity

$$
h(\xi)=\xi_{1}^{3}+\xi_{2}^{3}+\xi_{3}^{3}=3 \xi_{1} \xi_{2} \xi_{3}
$$

we may assume that

$$
\begin{equation*}
H \sim N_{\max }^{2} N_{\min } \tag{3.7}
\end{equation*}
$$

since the multiplier in (3.3) vanishes otherwise.
Lemma 3.1 (see [4, Propositon 6.1]) Let $H, N_{1}, N_{2}, N_{3}, L_{1}, L_{2}, L_{3}>0$ obey (3.5)-(3.7) and let the dispersion relations be given by (3.4).
(i) If $N_{\text {max }} \sim N_{\text {min }}$ and $L_{\text {max }} \sim H$, then we have

$$
\begin{equation*}
(3.3) \lesssim L_{\min }^{\frac{1}{2}} N_{\max }^{-\frac{1}{4}} L_{\mathrm{med}}^{\frac{1}{4}} . \tag{3.8}
\end{equation*}
$$

(ii) If $N_{2} \sim N_{3} \gg N_{1}$ and $H \sim L_{1} \gtrsim L_{2}, L_{3}$, then

$$
\begin{equation*}
(3.3) \lesssim L_{\min }^{\frac{1}{2}} N_{\max }^{-1} \min \left(H, \frac{N_{\max }}{N_{\min }} L_{\mathrm{med}}\right)^{\frac{1}{2}} \tag{3.9}
\end{equation*}
$$

Similarly for permutations.
(iii) In all other cases, we have

$$
\begin{equation*}
(3.3) \lesssim L_{\min }^{\frac{1}{2}} N_{\max }^{-1} \min \left(H, L_{\mathrm{med}}\right)^{\frac{1}{2}} \tag{3.10}
\end{equation*}
$$

Proposition 3.1 For $s>-1$ and $u, v \in X^{s, b}$, there exists $b \in(1 / 2,1)$ such that the bilinear inequality holds

$$
\begin{equation*}
\left\|u_{x} v_{x}\right\|_{X^{s, b-1}} \lesssim\|u\|_{X^{s, b}}\|v\|_{X^{s, b}} \tag{3.11}
\end{equation*}
$$

where the implicit constant depending only on $s$ and $b$.
Proof By Plancherel's formula and duality, it suffices to show that

$$
\begin{equation*}
\left\|\frac{\left\langle\xi_{1}\right\rangle^{-s}\left\langle\xi_{2}\right\rangle^{-s}\left\langle\xi_{3}\right\rangle^{s} \xi_{1} \xi_{2}}{\left\langle i\left(\tau_{1}-\xi_{1}^{3}\right)+\xi_{1}^{4}-\xi_{1}^{2}\right\rangle^{b}\left\langle i\left(\tau_{2}-\xi_{2}^{3}\right)+\xi_{2}^{4}-\xi_{2}^{2}\right\rangle^{b}\left\langle i\left(\tau_{3}-\xi_{3}^{3}\right)+\xi_{3}^{4}-\xi_{3}^{2}\right\rangle^{1-b}}\right\|_{[3, \mathbb{R} \times \mathbb{R}]} \lesssim 1 \tag{3.12}
\end{equation*}
$$

By the dyadic decomposition of the variables $\xi_{j}, \lambda_{j}, h(\xi), j=1,2,3$, we may assume that $\left|\xi_{j}\right| \sim N_{j},\left|\lambda_{j}\right| \sim L_{j},|h(\xi)| \sim H$. Using the translation invariance of the $[3 ; Z]$-multiplier norm, we can always restrict our estimate on $L_{j} \gtrsim 1$ and $\max \left(N_{1}, N_{2}, N_{3}\right)=N \gtrsim 1$. The comparison principle and the orthogonality reduce the multiplier norm estimate (3.12) to showing that

$$
\begin{align*}
& \quad \sum_{N_{\max } \sim N_{\operatorname{med}} \sim N} \sum_{L_{1}, L_{2}, L_{3} \gtrsim 1} \frac{\left\langle N_{1}\right\rangle^{-s}\left\langle N_{2}\right\rangle^{-s}\left\langle N_{3}\right\rangle^{s} N_{1} N_{2}}{\left\langle L_{1}+N_{1}^{4}-N_{1}^{2}\right\rangle^{b}\left\langle L_{2}+N_{2}^{4}-N_{2}^{2}\right\rangle^{b}\left\langle L_{3}+N_{3}^{4}-N_{3}^{2}\right\rangle^{1-b}} \\
& \times\left\|\chi_{N_{1}, N_{2}, N_{3} ; L_{\max } ; L_{1}, L_{2}, L_{3}}\right\|_{[3 ; \mathbb{R} \times \mathbb{R}]} \lesssim 1 \tag{3.13}
\end{align*}
$$

and

$$
\begin{align*}
& \sum_{N_{\max } \sim N_{\operatorname{med}} \sim N} \sum_{L_{\max } \sim L_{\operatorname{med}}} \sum_{H \ll L_{\max }} \frac{\left\langle N_{1}\right\rangle^{-s}\left\langle N_{2}\right\rangle^{-s}\left\langle N_{3}\right\rangle^{s} N_{1} N_{2}}{\left\langle L_{1}+N_{1}^{4}-N_{1}^{2}\right\rangle^{b}\left\langle L_{2}+N_{2}^{4}-N_{2}^{2}\right\rangle^{b}\left\langle L_{3}+N_{3}^{4}-N_{3}^{2}\right\rangle^{1-b}} \\
& \times\left\|\chi_{N_{1}, N_{2}, N_{3} ; L_{\max } ; L_{1}, L_{2}, L_{3}}\right\|_{[3 ; \mathbb{R} \times \mathbb{R}]} \lesssim 1 \tag{3.14}
\end{align*}
$$

for all $N \gtrsim 1$. Estimates (3.13) and (3.14) will be accomplished by means of the fundamental estimate Lemma 3.1 and some delicate summation.

Fix $N \gtrsim 1$, this implies (3.7). We first prove (3.14). By (3.10), we reduce to

$$
\begin{equation*}
\sum_{N_{\max } \sim N_{\operatorname{med}} \sim N} \sum_{L_{\max } \sim L_{\operatorname{med}} \gtrsim N^{2} N_{\min }} \frac{\left\langle N_{1}\right\rangle^{-s}\left\langle N_{2}\right\rangle^{-s}\left\langle N_{3}\right\rangle^{s} N_{1} N_{2} L_{\min }^{\frac{1}{2}} N_{\min }^{\frac{1}{2}}}{\left\langle L_{1}+N_{1}^{4}-N_{1}^{2}\right\rangle^{b}\left\langle L_{2}+N_{2}^{4}-N_{2}^{2}\right\rangle^{b}\left\langle L_{3}+N_{3}^{4}-N_{3}^{2}\right\rangle^{1-b}} \lesssim 1 . \tag{3.15}
\end{equation*}
$$

By symmetry we only need to consider two cases: $N_{1} \sim N_{2} \sim N, N_{3}=N_{\min }$ and $N_{1} \sim N_{3} \sim$ $N, N_{2}=N_{\min }$.
(i) In the first case $N_{1} \sim N_{2} \sim N, N_{3}=N_{\min }$, estimate (3.15) can be further reduced to

$$
\sum_{N_{\max } \sim N_{\operatorname{med}} \sim N} \sum_{L_{\max } \sim L_{\operatorname{med}} \gtrsim N^{2} N_{\min }} \frac{N^{-2 s+2}\left\langle N_{\min }\right\rangle^{s} L_{\min }^{\frac{1}{2}} N_{\min }^{\frac{1}{2}}}{\left\langle L_{1}+N^{4}\right\rangle^{b}\left\langle L_{2}+N^{4}\right\rangle^{b}\left\langle L_{3}\right\rangle^{1-b}} \lesssim 1,
$$

then performing the $L$ summations, we reduce to

$$
\sum_{N_{\max } \sim N_{\operatorname{med}} \sim N} \frac{N^{-2 s+2}\left\langle N_{\min }\right\rangle^{s} N_{\min }^{\frac{1}{2}}}{\left\langle L_{1}+N^{4}\right\rangle^{b}\left\langle L_{2}+N^{4}\right\rangle^{1-b}} \lesssim 1,
$$

which is true if $2 s+2>0$. So (3.15) is true if $s>-1$.
(ii) In the second case $N_{1} \sim N_{3} \sim N, N_{2}=N_{\min }$, estimate (3.15) can be reduced to

$$
\sum_{N_{\max } \sim N_{\operatorname{med}} \sim N} \sum_{L_{\max } \sim L_{\operatorname{med}} \gtrsim N^{2} N_{\min }} \frac{N N_{\min }\left\langle N_{\min }\right\rangle^{s} L_{\min }^{\frac{1}{2}} N_{\min }^{\frac{1}{2}}}{\left\langle L_{1}+N^{4}\right\rangle^{b}\left\langle L_{2}\right\rangle^{b}\left\langle L_{3}+N^{4}\right\rangle^{1-b}} \lesssim 1 .
$$

Before performing the $L$ summations, we need pay a little more attention to the summation of $N_{\min }$. So we reduce to

$$
\begin{aligned}
& \sum_{N_{\max } \sim N_{\operatorname{med}} \sim N, N_{\min } \leqslant 1} \sum_{L_{\max } \sim L_{\operatorname{med}} \gtrsim N^{2} N_{\min }} \frac{N}{L_{\min }^{b-\frac{1}{2}} N^{4}} \\
& +\sum_{N_{\max } \sim N_{\operatorname{med}} \sim N, 1 \leqslant N_{\min } \leqslant N} \sum_{L_{\max } \sim L_{\operatorname{med}} \gtrsim N^{2} N_{\min }} \frac{N N_{\min }^{\frac{3}{2}+s}}{L_{\min }^{b-\frac{1}{2}} N^{4}} \lesssim 1,
\end{aligned}
$$

which is obviously true if $s>-\frac{3}{2}$. So (3.15) is true if $s>-\frac{3}{2}$.
Now we show the low modulation case (3.15). We may assume $L_{\max } \sim N^{2} N_{\text {min }}$. We first deal with the contribution where (3.8) holds. In this case, we have $N_{1}, N_{2}, N_{3} \sim N \gtrsim 1$,
so we reduce to

$$
\begin{aligned}
& \sum_{N_{\max } \sim N_{\operatorname{med}} \sim N} \sum_{L_{\max } \sim N^{3}} \frac{N^{2-s}}{\left\langle L_{1}+N^{4}\right\rangle^{b}\left\langle L_{2}+N^{4}\right\rangle^{b}\left\langle L_{3}+N^{4}\right\rangle^{1-b}} L_{\min }^{\frac{1}{4}} N^{-\frac{1}{4}} L_{\operatorname{med}}^{\frac{1}{4}} \\
\lesssim & \sum_{N_{\max } \sim N_{\min } \sim N} \frac{N^{2-s} N^{-\frac{1}{4}}}{N^{4}} \lesssim 1,
\end{aligned}
$$

which holds if $s>-\frac{9}{4}$.
Now we deal with the cases where (3.9) applies. By symmetry we only need to consider two cases

$$
\begin{align*}
& N \sim N_{1} \sim N_{2} \gg N_{3} \quad \text { with } \quad H \sim L_{3} \gtrsim L_{1}, L_{2},  \tag{3.16}\\
& N \sim N_{1} \sim N_{3} \gg N_{2} \quad \text { with } \quad H \sim L_{2} \gtrsim L_{3}, L_{1} . \tag{3.17}
\end{align*}
$$

In the first case, we reduce by (3.9) to

$$
\sum_{N \gg N_{3}} \sum_{1 \lesssim L_{1}, L_{2} \lesssim N^{2} N_{3}} \frac{N^{-2 s+2}\left\langle N_{\min }\right\rangle^{s} L_{\min }^{\frac{1}{2}} N^{-1} \min \left(N^{2} N_{3}, \frac{N}{N_{3}} L_{\mathrm{med}}\right)^{\frac{1}{2}}}{\left\langle L_{1}+N^{4}\right\rangle^{b}\left\langle L_{2}+N^{4}\right\rangle^{b}\left\langle L_{3}\right\rangle^{1-b}} \lesssim 1
$$

Performing the $N_{3}$ summation, we reduce to

$$
\sum_{1 \lesssim L_{1}, L_{2} \lesssim N^{2} N_{3}} \frac{N^{-2 s+2} L_{\min }^{\frac{1}{2}} N^{-1} N^{\frac{3}{4}} L_{\operatorname{med}}^{\frac{1}{4}}}{\left\langle L_{1}+N^{4}\right\rangle^{b}\left\langle L_{2}+N^{4}\right\rangle^{b}\left\langle L_{3}\right\rangle^{1-b}} \lesssim \sum_{1 \lesssim L_{1}, L_{2} \lesssim N^{2} N_{3}} \frac{N^{-2 s+2} L_{\min }^{\frac{1}{2}} N^{-\frac{1}{4}} L_{\operatorname{med}}^{\frac{1}{4}}}{\left\langle L_{1}+N^{4}\right\rangle^{b}\left\langle L_{2}+N^{4}\right\rangle^{1-b}} \lesssim 1,
$$

which holds if $s>-1$.
In the second case, we simplify using (3.9) to

$$
\sum_{N \gg N_{2}} \sum_{1 \lesssim L_{1}, L_{3} \lesssim N^{2} N_{2}} \frac{N N_{2}\left\langle N_{2}\right\rangle^{-s} L_{\min }^{\frac{1}{2}} N_{2}^{\frac{1}{2}}}{\left\langle L_{2}+N^{4}\right\rangle^{b}\left\langle L_{1}\right\rangle^{b}\left\langle L_{3}+N^{4}\right\rangle^{1-b}} \lesssim 1
$$

Performing the $L$ summation, we reduce to

$$
\sum_{N \gg N_{2}} \frac{\left\langle N_{2}\right\rangle^{-s} N N_{2}^{\frac{3}{2}}}{N^{4}} \lesssim \sum_{N \gg N_{2} \geqslant 1} \frac{\langle N\rangle^{-s} N N^{\frac{3}{2}}}{N^{4}}+\sum_{N_{2} \leqslant 1} \frac{\left\langle N_{2}\right\rangle^{-s} N N_{2}^{\frac{3}{2}}}{N^{4}} \lesssim 1
$$

which holds if $s>-\frac{3}{2}$.
To finish the proof of (3.15), it remains to deal with the cases where (3.10) holds. This reduces to

$$
\begin{equation*}
\sum_{N_{\max } \sim N_{\operatorname{med}} \sim N} \sum_{L_{1}, L_{2}, L_{3} \gtrsim 1} \frac{\left\langle N_{1}\right\rangle^{-s}\left\langle N_{2}\right\rangle^{-s}\left\langle N_{3}\right\rangle^{s} N_{1} N_{2} L_{\min }^{\frac{1}{2}} N^{-1} \min \left(H, L_{\mathrm{med}}\right)^{\frac{1}{2}}}{\left\langle L_{1}+N_{1}^{4}-N_{1}^{2}\right\rangle^{b}\left\langle L_{2}+N_{2}^{4}-N_{2}^{2}\right\rangle^{b}\left\langle L_{3}+N_{3}^{4}-N_{3}^{2}\right\rangle^{1-b}} \lesssim 1 . \tag{3.18}
\end{equation*}
$$

To estimate (3.18), by symmetry we need to consider two cases: $N_{1} \sim N_{2} \sim N, N N_{3}=N_{\text {min }}$ and $N_{1} \sim N_{3} \sim N, N_{2}=N_{\text {min }}$.
(i) If $N_{1} \sim N_{2} \sim N, N_{3}=N_{\min }$, then estimate (3.18) can be further reduced to

$$
\sum_{N_{\max } \sim N_{\operatorname{med}} \sim N} \sum_{L_{1}, L_{2}, L_{3} \gtrsim 1} \frac{N^{-2 s+2}\left\langle N_{\min }\right\rangle^{s} L_{\min }^{\frac{1}{2}} N_{\min }^{\frac{1}{2}}}{\left\langle L_{1}+N^{4}\right\rangle^{b}\left\langle L_{2}+N^{4}\right\rangle^{b}\left\langle L_{3}\right\rangle^{1-b}} \lesssim 1,
$$

performing the $L$ summations, we reduce to

$$
\sum_{N_{\max } \sim N_{\operatorname{med}} \sim N} \frac{N^{-2 s+2}}{\left\langle L_{1}+N^{4}\right\rangle^{b}\left\langle L_{2}+N^{4}\right\rangle^{1-b}} \lesssim 1,
$$

which is true if $s>-1$.
(ii) If $N_{1} \sim N_{3} \sim N, N_{2}=N_{\min }$, then the estimate (3.15) can be reduced to

$$
\sum_{N_{\max } \sim N_{\operatorname{med}} \sim N} \sum_{L_{1}, L_{2}, L_{3} \gtrsim 1} \frac{N N_{\min }\left\langle N_{\min }\right\rangle^{s} L_{\min }^{\frac{1}{2}} N_{\min }^{\frac{1}{2}}}{\left\langle L_{1}+N^{4}\right\rangle^{b}\left\langle L_{2}\right\rangle^{b}\left\langle L_{3}+N^{4}\right\rangle^{1-b}} \lesssim 1,
$$

performing the $L$ summations, we reduce to

$$
\sum_{N_{\max } \sim N_{\operatorname{med}} \sim N} \frac{N^{-s+\frac{5}{2}}}{N^{4}} \lesssim 1,
$$

which is obviously true if $s>-\frac{3}{2}$.

## 4 Proof of Main Result

In this section, we will use the linear and nonlinear estimates to provide proofs of the local well-posedness results stated in Theorem 1.1.

Proof of Theorem 1.1 Let $s>-1$ and $\varphi \in H^{s}(\mathbb{R})$. We prove the existence of a solution $u$ of the integral formulation (2.3) on some interval $[0, T]$ for $T<1$ small enough. Define

$$
\begin{equation*}
\Gamma_{T}(u)(t)=\theta_{T}(t)\left[V(t) \varphi-\int_{0}^{t} V\left(t-t^{\prime}\right)\left(u_{x}^{2}\left(t^{\prime}\right)\right) \mathrm{d} t^{\prime}\right] \tag{4.1}
\end{equation*}
$$

We want to use the Picard fixed point theorem to find a solution of

$$
\begin{equation*}
\Gamma_{T}(u)=u \tag{4.2}
\end{equation*}
$$

in the space $X^{s, b}$.
Using (2.6), (2.7) and (3.11), we deduce that, there exists a constant $C>0$ such that

$$
\begin{equation*}
\left\|\Gamma_{T}(u)\right\|_{X^{s, b}} \leqslant C T^{\frac{1-2 b}{2}}\left(\|\varphi\|_{H^{s}(\mathbb{R})}+\|u\|_{X^{s, b}}^{2}\right) . \tag{4.3}
\end{equation*}
$$

Since

$$
u_{x}^{2}-v_{x}^{2}=\left(u_{x}+v_{x}\right)\left(u_{x}-v_{x}\right),
$$

the same computation leads to

$$
\begin{equation*}
\left\|\Gamma_{T}(v)-\Gamma_{T}(u)\right\|_{X^{s, b}} \leqslant C T^{\frac{1-2 b}{2}}\|u-v\|_{X^{s, b}}\|u+v\|_{X^{s, b}} . \tag{4.4}
\end{equation*}
$$

We define

$$
X^{s, b}(M)=\left\{v \in X^{s, b}:\|v\|_{X^{s, b}} \leqslant M\right\}
$$

with $M=2 c\|\varphi\|_{H^{s}}$. Then if we choose $T$ such that

$$
\begin{equation*}
C T^{\frac{1-2 b}{2}}\left(\frac{M}{2 C}+M^{2}\right)<\frac{1}{2} M \tag{4.5}
\end{equation*}
$$

(4.3) and (4.4) imply that $\Gamma_{T}$ is a contraction map on the Banach space $X^{s, \frac{1}{2}}(M)$. Thus we deduce by the fixed point theorem that there exists a unique solution $u \in X^{s, \frac{1}{2}}(M)$ of (4.2).

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## KdVKS方程的局部适定性

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摘要：本文研究了KdVKS方程 $u_{t}+\delta \partial_{x}^{3} u+\mu\left(\partial_{x}^{4} u+\partial_{x}^{2} u\right)+\alpha\left(\partial_{x} u\right)^{2}=0$ 的 Cauchy 问题．利用 Tao的 $[k ; Z]$ 乘子范数估计的方法，在 Sobolev 空间 $H^{s}(\mathbb{R}), s>-1$ 中证明了初值问题的局部适定性，结论改进了现有的Biagioni等的结果．

关键词：KdVKS方程；局部适定性；Cauchy问题
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