

A NOTE ON QUASI- $*$ - $A(K)$ OPERATORS

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Abstract: In this note, we introduce quasi- $*$ - $A(k)$ operators and obtain their spectral properties as follows: (i) If T is quasi- $*$ - $A(k)$ for $0 < k \leq 1$, then the spectral mapping theorem holds for the essential approximate point spectrum. (ii) If T is quasi- $*$ - $A(k)$ for $0 < k \leq 1$, then $\sigma_{ja}(T) \setminus \{0\} = \sigma_a(T) \setminus \{0\}$. Besides, we consider tensor product of $*$ - $A(k)$ operators.

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1 Introduction

Let H be an arbitrary complex Hilbert space and T be a bounded linear operator on H . We denote the $*$ -algebra of all bounded linear operators on H by $B(H)$.

An operator T is $*$ -paranormal if $\|T^2x\| \geq \|T^*x\|^2$ for unit vector x . $*$ -paranormal operators have been studied by many researchers, see [5, 9, 11], etc. An operator T is said to be class $*$ - A if $|T^2| \geq |T^*|^2$, where $|T| = (T^*T)^{\frac{1}{2}}$. As an easy extension of class $*$ - A operators, an operator T is said to be quasi- $*$ - A if $T^*|T^2|T \geq T^*|T^*|^2T$ in [17]. Moreover, for $k > 0$, an operator T belongs to $*$ - $A(k)$ if $(T^*|T|^{2k}T)^{\frac{1}{k+1}} \geq |T^*|^2$. An operator T is absolute- $*$ - k -paranormal if $\|T^*x\|^{k+1} \leq \| |T|^kTx \| \|x\|^k$ for every $x \in H$. Particularly an operator T is a class $*$ - A (resp. $*$ -paranormal) operator if and only if T is a $*$ - $A(1)$ (resp. absolute- $*$ -1-paranormal) operator.

In this note we extend $*$ - $A(k)$ (resp. $*$ -paranormal) operators and quasi- $*$ - A operators to a new class of operators called quasi- $*$ - $A(k)$ (resp. quasi-absolute- $*$ - k -paranormal) operators, and study their spectral properties.

Definition 1.1 Let $T \in B(H)$.

(i) For each $k > 0$, T belongs to quasi- $*$ - $A(k)$ if

$$T^*(T^*|T|^{2k}T)^{\frac{1}{k+1}}T \geq |T|^4.$$

(ii) For each $k > 0$, T belongs to quasi-absolute- $*$ - k -paranormal if

$$\|T^*Tx\|^{k+1} \leq \| |T|^kT^2x \| \|Tx\|^k \text{ for every } x \in H.$$

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It's known that quasi- $*A(1)$ operator is quasi- $*A$, and a quasi- $*A(k)$ operator is quasi-absolute- $*k$ -paranormal (see Lemma 2.2), then we have the following implications:

$$*A(k) \Rightarrow \text{quasi-} *A(k) \Rightarrow \text{quasi-absolute-} *k\text{-paranormal.}$$

By simple calculation, we have the following lemma as appeared in [22].

Lemma 1.2 Let $K = \oplus_{n=1}^{+\infty} H_n$, where $H_n \cong H$. For given positive operators A and B on H , define the operator $T_{A,B}$ on K as follows:

$$T_{A,B} = \begin{pmatrix} 0 & 0 & 0 & 0 & 0 & 0 & \cdots \\ A & 0 & 0 & 0 & 0 & 0 & \cdots \\ 0 & B & 0 & 0 & 0 & 0 & \cdots \\ 0 & 0 & B & 0 & 0 & 0 & \cdots \\ 0 & 0 & 0 & B & 0 & 0 & \cdots \\ 0 & 0 & 0 & 0 & B & 0 & \cdots \\ \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \ddots \end{pmatrix}.$$

Then the following assertions hold:

- (i) $T_{A,B}$ belongs to $*A(k)$ if and only if $B^2 \geq A^2$.
- (ii) $T_{A,B}$ belongs to quasi- $*A(k)$ if and only if $AB^2A \geq A^4$.

The following example provides an operator which is quasi- $*A(k)$ but not $*A(k)$.

Example 1.3 A non- $*A(k)$ and quasi- $*A(k)$ operator.

Take A and B as

$$A = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} \quad B = \begin{pmatrix} 1 & 1 \\ 1 & 1 \end{pmatrix}.$$

Then

$$B^2 - A^2 = \begin{pmatrix} 1 & 2 \\ 2 & 2 \end{pmatrix} \not\geq 0.$$

Hence $T_{A,B}$ is not a $*A(k)$ operator.

On the other hand,

$$A(B^2 - A^2)A = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} \begin{pmatrix} 1 & 2 \\ 2 & 2 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} \geq 0.$$

Thus $T_{A,B}$ is a quasi- $*A(k)$ operator.

Consider unilateral weighted shift operator as an infinite dimensional Hilbert space operator. Recall that given a bounded sequence of positive numbers $\alpha : \alpha_1, \alpha_2, \alpha_3, \dots$ (called weights), the unilateral weighted shift W_α associated with α is the operator on $H = l_2$ defined by $W_\alpha e_n := \alpha_n e_{n+1}$ for all $n \geq 1$, where $\{e_n\}_{n=1}^\infty$ is the canonical orthogonal basis

for l_2 . Straightforward calculations show that W_α is quasi- $*$ - $A(k)$ if and only if

$$W_\alpha = \begin{pmatrix} 0 & 0 & 0 & 0 & 0 & \cdots \\ \alpha_1 & 0 & 0 & 0 & 0 & \cdots \\ 0 & \alpha_2 & 0 & 0 & 0 & \cdots \\ 0 & 0 & \alpha_3 & 0 & 0 & \cdots \\ 0 & 0 & 0 & \alpha_4 & 0 & \cdots \\ \vdots & \vdots & \vdots & \vdots & \vdots & \ddots \end{pmatrix},$$

where

$$(\alpha_{i+1}\alpha_{i+2}^k)^{\frac{1}{k+1}} \geq \alpha_i \quad (i = 1, 2, 3, \dots).$$

The following examples show that quasi- $*$ - A operator and quasi- $*$ - $A(2)$ operator are independent.

Example 1.4 A non-quasi- $*$ - A and quasi- $*$ - $A(2)$ operator.

Let T be a unilateral weighted shift operator with weighted sequence (α_i) , given $\alpha_1 = 3, \alpha_2 = 1, \alpha_3 = 8, \alpha_3 = \alpha_4 = \alpha_5 = \cdots$. Simple calculations show that T is quasi- $*$ - $A(2)$ and a non-quasi- $*$ - A operator.

Example 1.5 A non-quasi- $*$ - $A(2)$ and quasi- $*$ - A operator.

Let T be a unilateral weighted shift operator with weighted sequence (α_i) , given $\alpha_1 = 1, \alpha_2 = \frac{1}{2}, \alpha_3 = 2, \alpha_4 = \frac{1}{8}, \alpha_5 = 64, \alpha_5 = \alpha_6 = \cdots$. Simple calculations show that T is quasi- $*$ - A but not a quasi- $*$ - $A(2)$ operator.

2 Spectrum of Quasi- $*$ - $A(k)$ Operators

In the sequel, let $\sigma(T)$, $\sigma_a(T)$, $\sigma_p(T)$, $\sigma_{ea}(T)$, $\sigma_{jp}(T)$, $\sigma_{ja}(T)$ for the spectrum of T , the approximate point spectrum of T , the point spectrum of T , the essential approximate point spectrum of T , the joint point spectrum of T , the joint approximate point spectrum of T , respectively. $\lambda \in \sigma_p(T)$ if there is a nonzero $x \in H$ such that $(T - \lambda)x = 0$. If in addition, $(T^* - \bar{\lambda})x = 0$, then $\lambda \in \sigma_{jp}(T)$. Analogously, $\lambda \in \sigma_a(T)$ if there is a sequence $\{x_n\}$ of unit vectors in H such that $(T - \lambda)x_n \rightarrow 0$. If in addition, $(T^* - \bar{\lambda})x_n \rightarrow 0$, then $\lambda \in \sigma_{ja}(T)$.

Clearly, $\sigma_{jp}(T) \subseteq \sigma_p(T)$, $\sigma_{ja}(T) \subseteq \sigma_a(T)$. In general, $\sigma_{jp}(T) \neq \sigma_p(T)$, $\sigma_{ja}(T) \neq \sigma_a(T)$.

Recently, it was shown that, for some nonnormal operator T , the nonzero points of its point spectrum and joint point spectrum are identical, the nonzero points of its approximate point spectrum and joint approximate point spectrum are identical[3, 7, 19–21]. In this section, we will extend that result to quasi- $*$ - $A(k)$ for $0 < k \leq 1$.

To prove the inclusion relation between quasi- $*$ - $A(k)$ operator and quasi-absolute- $*$ - k -paranormal operator, we need the following lemma.

Lemma 2.1 [10] Let A be a positive linear operator on a Hilbert space H . Then

- (i) $(A^\lambda x, x) \geq (Ax, x)^\lambda$ for any $\lambda > 1$ and $\|x\| = 1$.
- (ii) $(A^\lambda x, x) \leq (Ax, x)^\lambda$ for any $0 \leq \lambda \leq 1$ and $\|x\| = 1$.

Lemma 2.2 For each $k > 0$, every quasi- $*$ - $A(k)$ operator is a quasi-absolute- $*$ - k -paranormal operator.

Proof Suppose that T belongs to $\text{quasi-}*A(k)$ for $k > 0$, i.e.,

$$T^*|T^*|^2T \leq T^*(T^*|T|^{2k}T)^{\frac{1}{k+1}}T.$$

Then, for every $x \in H$,

$$\begin{aligned} \|T^*Tx\|^{2(k+1)} &= (T^*|T^*|^2Tx, x)^{(k+1)} \\ &\leq (T^*(T^*|T|^{2k}T)^{\frac{1}{k+1}}Tx, x)^{(k+1)} \\ &= ((T^*|T|^{2k}T)^{\frac{1}{k+1}}Tx, Tx)^{(k+1)} \\ &\leq (T^*|T|^{2k}T^2x, Tx)\|Tx\|^{2k} \\ &= \| |T|^kT^2x \|^2 \|Tx\|^{2k}. \end{aligned}$$

Therefore,

$$\|T^*Tx\|^{k+1} \leq \| |T|^kT^2x \| \|Tx\|^k \text{ for every } x \in H,$$

that is, T is quasi-absolute- $*$ - k -paranormal for $k > 0$.

Lemma 2.3 [6] Let H be a complex Hilbert space. Then there exists a Hilbert space K such that $H \subset K$ and a map $\varphi : B(H) \rightarrow B(K)$ such that

- (i) φ is a faithful $*$ -representation of the algebra $B(H)$ on K ;
- (ii) $\varphi(A) \geq 0$ for any $A \geq 0$ in $B(H)$;
- (iii) $\sigma_a(T) = \sigma_a(\varphi(T)) = \sigma_p(\varphi(T))$ for any $T \in B(H)$.

Lemma 2.4 [21] Let $\varphi : B(H) \rightarrow B(K)$ be Berberian's faithful $*$ -representation. Then $\sigma_{ja}(T) = \sigma_{jp}(\varphi(T))$.

Lemma 2.5 Let T be a quasi- $*$ - $A(k)$ operator for $0 < k \leq 1$ and $\lambda \neq 0$. Then $Tx = \lambda x$ implies $T^*x = \bar{\lambda}x$.

Proof Let $\lambda \neq 0$ and suppose $x \in N(T - \lambda)$, we get $Tx = \lambda x$. Since T is quasi- $*$ - $A(k)$, for every unit vector $x \in H$, $\|T^*Tx\|^{k+1} \leq \| |T|^kT^2x \| \|Tx\|^k$, then

$$\begin{aligned} \|T^*x\|^{k+1}|\lambda|^{k+1} &\leq |\lambda|^{k+2}\| |T|^kx \|^k = |\lambda|^{k+2}(|T|^{2k}x, x)^{\frac{k}{2}} \\ &\leq |\lambda|^{k+2}(|T|^2x, x)^{\frac{k}{2}} = |\lambda|^{k+2}\|Tx\|^k \\ &= |\lambda|^{2k+2}. \end{aligned}$$

Hence, for all $\|x\| = 1$, $\|T^*x\|^2 \leq |\lambda|^2$, and then

$$\begin{aligned} \|T^*x - \bar{\lambda}x\| &= ((T - \lambda)^*x, (T - \lambda)^*x) \\ &= \|T^*x\|^2 - (x, \bar{\lambda}Tx) - (\bar{\lambda}Tx, x) + |\lambda|^2\|x\|^2 \\ &\leq |\lambda|^2 - |\lambda|^2 - |\lambda|^2 + |\lambda|^2 \\ &= 0. \end{aligned}$$

Therefore, we have $\|T^*x - \bar{\lambda}x\| = 0$, that is, $T^*x = \bar{\lambda}x$.

Remark 2.6 The condition " $\lambda \neq 0$ " cannot be omitted in Lemma 2.5. In fact, Example 1.3 shows that $T_{A,B}$ is a quasi- $*$ - $A(k)$ operator, however for the vector $x =$

$(0, 0, 1, -1, 0, 0, \dots)$, $T_{A,B}(x) = 0$, but $T_{A,B}^*(x) \neq 0$. Therefore, the relation $N(T_{A,B}) \subseteq N(T_{A,B}^*)$ does not always hold.

Theorem 2.7 Let $T \in B(H)$ be quasi- $*$ - $A(k)$ for $0 < k \leq 1$. Then

- (i) $\sigma_{jp}(T) \setminus \{0\} = \sigma_p(T) \setminus \{0\}$;
- (ii) If $(T - \lambda)x = 0$, $(T - \mu)y = 0$, and $\lambda \neq \mu$, then we have $\langle x, y \rangle = 0$;
- (iii) $\sigma_{ja}(T) \setminus \{0\} = \sigma_a(T) \setminus \{0\}$.

Proof (i) Clearly by Lemma 2.5.

(ii) Without loss of generality, we assume $\mu \neq 0$. Then $(T - \mu)^*y = 0$ by Lemma 2.5. Thus we have $\mu \langle x, y \rangle = \langle x, T^*y \rangle = \langle Tx, y \rangle = \lambda \langle x, y \rangle$. Since $\lambda \neq \mu$, $\langle x, y \rangle = 0$.

(iii) Let $\varphi: B(H) \rightarrow B(K)$ be Berberian's faithful $*$ -representation of Lemma 2.3. In the following, we shall show that $\varphi(T)$ is also a quasi- $*$ - $A(k)$ operator.

In fact, since T is a quasi- $*$ - $A(k)$ operator, by Lemma 2.3, we have

$$\begin{aligned} & (\varphi(T))^*[(\varphi(T))^*|\varphi(T)|^{2k}\varphi(T)]^{\frac{1}{k+1}} - |(\varphi(T))^*|^2\varphi(T) \\ &= \varphi(T^*[(T^*|T|^{2k}T)^{\frac{1}{k+1}} - |T^*|^2]T) \geq 0. \end{aligned}$$

Then

$$\begin{aligned} \sigma_a(T) \setminus \{0\} &= \sigma_a(\varphi(T)) \setminus \{0\} \quad \text{by Lemma 2.3} \\ &= \sigma_p(\varphi(T)) \setminus \{0\} \quad \text{by Lemma 2.3} \\ &= \sigma_{jp}(\varphi(T)) \setminus \{0\} \quad \text{by (i)} \\ &= \sigma_{ja}(T) \setminus \{0\} \quad \text{by Lemma 2.4.} \end{aligned}$$

Recall that $T \in B(H)$ is said to have finite ascent if $N(T^n) = N(T^{n+1})$ for some positive integer n , where $N(T)$ for the null space of T .

Theorem 2.8 If T is quasi- $*$ - $A(k)$ for $0 < k \leq 1$, then $T - \lambda$ has finite ascent for each $\lambda \in \mathbb{C}$.

Proof If $\lambda \neq 0$, then $N(T - \lambda) \subseteq N(T^* - \bar{\lambda})$ by Lemma 2.5, thus $N(T - \lambda) = N(T - \lambda)^2$. If $\lambda = 0$, let $x \in N(T^2)$, since T is quasi- $*$ - $A(k)$, then

$$\|T^*Tx\|^{k+1} \leq \| |T|^k T^2 x \| \|Tx\|^k \quad \text{for every } x \in H.$$

We have

$$\begin{aligned} \| |T|^2 x \|^{k+1} &= \|T^*Tx\|^{k+1} \leq \| |T|^k T^2 x \| \|Tx\|^k \\ &= (|T|^{2k} T^2 x, T^2 x)^{\frac{1}{2}} \|Tx\|^k \\ &\leq (|T|^2 T^2 x, T^2 x)^{\frac{k}{2}} \|Tx\|^k \|T^2 x\|^{(1-k)} \\ &= \|T^3 x\|^k \|Tx\|^k \|T^2 x\|^{(1-k)} \quad \text{for every } x \in H. \end{aligned}$$

Hence $|T|^2 x = 0$ implies $Tx = 0$. This shows that $T - \lambda$ has finite ascent for each $\lambda \in \mathbb{C}$.

Recall that $T \in B(H)$ has the single valued extension property (abbrev. SVEP), if for every open set U of $\mathbb{C}$, the only analytic solution $f: U \rightarrow H$ of the equation

$$(T - \lambda)f(\lambda) = 0$$

for all $\lambda \in U$ is the zero function on U .

Theorem 2.9 If T is quasi- $*$ - $A(k)$ for $0 < k \leq 1$, then T has SVEP.

Proof Clearly by Theorem 2.8 and [14, Proposition 1.8].

As a simple consequence of the preceding result, we obtain

Corollary 2.10 If T is quasi- $*$ - $A(k)$ for $0 < k \leq 1$, then

(i) $\sigma_{ea}(f(T)) = f(\sigma_{ea}(T))$ for every $f \in H(\sigma(T))$, where $H(\sigma(T))$ is the space of functions analytic on an open neighborhood of $\sigma(T)$;

(ii) T obeys a -Browder's theorem, that is $\sigma_{ea}(T) = \sigma_{ab}(T)$, where $\sigma_{ab}(T) := \cap \{\sigma_a(T + K) : TK = KT \text{ and } K \text{ is a compact operator}\}$;

(iii) a -Browder's theorem holds for $f(T)$ for every $f \in H(\sigma(T))$.

Proof Note that T has SVEP, Corollary 2.10 follows by [1].

3 Tensor Products of $*$ - $A(k)$ Operators

Given non-zero $T, S \in B(H)$, let $T \otimes S$ denote the tensor product on the product space $H \otimes H$. The operation of taking tensor products $T \otimes S$ preserves many properties of $T, S \in B(H)$, but by no means all of them. The normaloid property is invariant under tensor products [16, p.623], $T \otimes S$ is normal if and only if T and S are normal [12, 18], however, there exist paranormal operators T and S such that $T \otimes S$ is not paranormal [4]. Duggal [8] showed that for non-zero $T, S \in B(H)$, $T \otimes S \in H(p)$ if and only if $T, S \in H(p)$. Recently, this result was extended to class $*$ - A operators and class A operators in [9, 13], respectively.

In this section we consider the tensor products of $*$ - $A(k)$ operators. The following key lemma is due to J. Stochel.

Lemma 3.1 [18, Proposition 2.2] Let $A_1, A_2 \in B(H)$, $B_1, B_2 \in B(K)$ be non-negative operators. If A_1 and B_1 are non-zero, then the following assertions are equivalent:

(i) $A_1 \otimes B_1 \leq A_2 \otimes B_2$.

(ii) There exists $c > 0$ for which $A_1 \leq cA_2$ and $B_1 \leq c^{-1}B_2$.

Theorem 3.2 Let $T, S \in B(H)$ be non-zero operators. Then $T \otimes S$ is a $*$ - $A(k)$ operator if and only if T and S are $*$ - $A(k)$ operators.

Proof By simple calculation we have

$T \otimes S$ is a $*$ - $A(k)$ operator

$$\begin{aligned} &\Leftrightarrow [(T \otimes S)^* |T \otimes S|^{2k} (T \otimes S)]^{\frac{1}{k+1}} \geq |(T \otimes S)^*|^2 \\ &\Leftrightarrow [(T \otimes S)^* |T \otimes S|^{2k} (T \otimes S)]^{\frac{1}{k+1}} - |T^*|^2 \otimes |S^*|^2 \geq 0 \\ &\Leftrightarrow [(T^* \otimes S^*) (|T|^{2k} \otimes |S|^{2k}) (T \otimes S)]^{\frac{1}{k+1}} - |T^*|^2 \otimes |S^*|^2 \geq 0 \\ &\Leftrightarrow (T^* |T|^{2k} T)^{\frac{1}{k+1}} \otimes (S^* |S|^{2k} S)^{\frac{1}{k+1}} - |T^*|^2 \otimes |S^*|^2 \geq 0 \\ &\Leftrightarrow |T^*|^2 \otimes [(S^* |S|^{2k} S)^{\frac{1}{k+1}} - |S^*|^2] + [(T^* |T|^{2k} T)^{\frac{1}{k+1}} - |T^*|^2] \otimes (S^* |S|^{2k} S)^{\frac{1}{k+1}} \geq 0. \end{aligned}$$

Thus the sufficiency is easily proved. Conversely, suppose that $T \otimes S$ belongs to $*\text{-}A(k)$. Without loss of generality, it is enough to show that T belongs to $*\text{-}A(k)$. Since $T \otimes S$ is $*\text{-}A(k)$, we obtain

$$(T^*|T|^{2k}T)^{\frac{1}{k+1}} \otimes (S^*|S|^{2k}S)^{\frac{1}{k+1}} \geq |(T \otimes S)^*|^2.$$

Therefore, by Lemma 3.1, there exists a positive real number l for which

$$l(T^*|T|^{2k}T)^{\frac{1}{k+1}} \geq |T^*|^2$$

and

$$l^{-1}(S^*|S|^{2k}S)^{\frac{1}{k+1}} \geq |S^*|^2.$$

Consequently, for arbitrary $x, y \in H$, using Lemma 2.1 we have

$$\begin{aligned} \|T\|^2 &= \|T^*\|^2 = \sup\{(|T^*|^2x, x) : \|x\| = 1\} \\ &\leq \sup\{(l(T^*|T|^{2k}T)^{\frac{1}{k+1}}x, x) : \|x\| = 1\} \\ &\leq l \sup\{((T^*|T|^{2k}T)x, x)^{\frac{1}{1+k}} : \|x\| = 1\} \\ &\leq l\|T^*|T|^{2k}T\|^{\frac{1}{1+k}} \\ &\leq l\|T\|^2 \end{aligned}$$

and

$$\begin{aligned} \|S\|^2 &= \|S^*\|^2 = \sup\{(|S^*|^2y, y) : \|y\| = 1\} \\ &\leq \sup\{[l^{-1}(S^*|S|^{2k}S)^{\frac{1}{k+1}}y, y] : \|y\| = 1\} \\ &\leq l^{-1} \sup\{[(S^*|S|^{2k}S)y, y]^{\frac{1}{1+k}} : \|y\| = 1\} \\ &\leq l^{-1}\|S^*|S|^{2k}S\|^{\frac{1}{1+k}} \\ &\leq l^{-1}\|S\|^2. \end{aligned}$$

Clearly, we must have $l = 1$, and hence T is a $*\text{-}A(k)$ operator.

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关于拟- $*$ - $A(k)$ 算子的注记

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摘要: 本文引入了拟- $*$ - $A(k)$ 算子并研究其谱性质如下: (i) 如果 T 是拟- $*$ - $A(k)$ 算子, 其中 $0 < k \leq 1$, 则谱映射定理对 T 的本质近似点谱成立. (ii) 如果 T 是拟- $*$ - $A(k)$ 算子, 其中 $0 < k \leq 1$, 则 $\sigma_{ja}(T) \setminus \{0\} = \sigma_a(T) \setminus \{0\}$. 最后对 $*$ - $A(k)$ 算子的张量积性质也进行了讨论.

关键词: 拟- $*$ - $A(k)$ 算子; 单值扩展性质; 联合近似点谱; 张量积

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